

Curriculum Vitae



MANISH KUMAR AGARWAL

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Career Objective:

To synthesize the professional knowledge acquired, with the inherent personality traits for seeking self-acquisition and growth through honest and diligent work performance.

Synopsis:

Chartered Accountant as well as Company Secretary (First Attempt) having more than 22 years of post-qualification experience in Banking & Financial Services (BFSI) in the Supervisory & Senior Mid Management role having profile of Compliance, Audit, Accounts and MIS.

There is adequate stability in the career graph reflecting satisfactory performance and deliverance to the satisfaction of the stakeholders and self.

Professional Qualification:

Particulars	Year	Board/University	Marks (%)
Chartered Accountant	May 1998	ICAI, Kolkata	52% (1 st Attempt)
Company Secretary	Dec' 1998	ICSI, Kolkata	55% (1 st Attempt)
Diploma in System Audit (DISA)	June 2014	ICAI	75%
Insurance Broker's Examination	2006	IRDA	77%
NISM-VII Series (Securities Operations & Risk Management Module)	2013	NSE	70%

Work Experience: (From current to back date)

(1) **NARNOLIA FINANCIAL SERVICES LTD. (FORMERLY MICROSEC CAPITAL LIMITED)- MARBLE ARCH, 2ND FLOOR, OFFICE 201, 236B, AJC BOSE ROAD, Kolkata 700 020**

(From 01.07.2005 to till date)

Designation: **Chief Compliance Officer**

Group Profile:-

Narnolia Group is operating in financial Service Sector and offers a basket of financial services. The group is engaged as SEBI registered Portfolio Manager, SEBI registered Investment Advisory, IRDA licensed Insurance Broker, AMFI registered Mutual Fund distribution, and PFRDA licensed National Pension Scheme (NPS) POP.

Narnolia Group acquired Microsec Group in the year 2017 and hence the name of the Company was also changed from Microsec Capital Ltd. to Narnolia Financial Services Ltd.

The Group was earlier SEBI registered Stock broker of NSE, BSE, MCX, NCDEX and SEBI registered Depository participant of NSDL & CDSL starting from 2003. However, the same has been surrendered in the year 2020 post acquisition by Narnolia Group just to concentrate on their core forte of Advisory and Portfolio Management services.

Work Profile:

- To lead & motivate a team of around 10 Team members to ensure Compliance of applicable rules and regulations in a timely and efficient manner.
- To define & supervise the KRA of each team member so as to ensure no deviations from the defined standard.
- To supervise the deliverance by the team as per the checklist and standard.
- To minimize the compliance risk of the organization and its stakeholders.
- To represent the Company's Management before any regulatory and statutory Authorities and to make submissions relating to any matter
- To follow best practices followed by the Industry and sector so as to create a reputé and goodwill of the organization
- To co-ordinate and liaise with various Auditors and other statutory & regulatory Authorities
- To submit to the management the required information in a timely manner so as to help in decision making
- To act as single point contact person for any Compliance related matters
- To supervise various Branch offices of the Company to ensure consistent level of performance across the organization.
- To deal with inter departmental team members on any cross departmental matter in a solution oriented approach so as to ensure smoother functioning of the organization as a whole.

(2) **BACHHAWAT GROUP OF COMPANIES,**

11/1, Sarat Bose Road, 2nd Floor, Kolkata 700 020

(From 01.01.2001 to 30.06.2005)

Group Profile:-

The Group consists of one Listed Company (Listed at Calcutta Stock Exchange). The main business of Group Company's is to invest their own money in Shares, Mutual Funds etc. Besides, the group has interest in Real Estates business also.

Work Profile:

- Preparation of Interim results for Reporting & M.I.S. purposes.
- Preparation of Balance Sheet & Profit & Loss A/c of all Group Company's and Tax Computation.
- Secretarial formalities of all the group companies including Listed Company.

PERSONAL INFORMATIONS:

(1) Date of Birth : 17th January 1976.

(2) Marital Status : Married

(3) Computer Knowledge: MS Excel, MS Word, Tally, Share Pro, Internet